



# Candidate Report

## Summary

### Candidate details

Candidate Full Name:	Ms Michelle Anne Bloggs
Date of Birth:	29/01/1973
Address:	Flat 13, Kingswear House, Dartmouth Avenue, Manchester, M30 0XT

### Report details

Report Prepared For	Sample Customer Ltd		
KYC Reference Number	<b>12345678</b>	Total Pages	<b>4</b>
Date Submitted	28/01/2016	Date Completed	29/01/2016

### Background Checks Included Within This Report:

Status:

Sanctions Check	
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#### Observations:

**Sanctions Check:** There were two possible sanction matches returned when searching on Michelle Bloggs which could not be qualified out. We were unable to qualify these matches out as no date or birth or year of birth information was included within the article. To qualify these matches out please verify the employment / address history of your candidate.

### Important – Please Read

The information contained within this report is intended for use by the named client as part of a wider candidate assessment during the recruitment process. Recruitment decisions should be based on all the information gleaned from the selection process and not just that included within this report. Know Your Candidate Ltd collects the data to build candidate reports from a variety of sources that may in turn collect their data from numerous sources. As such it is impractical to verify or warrant the accuracy of the data within this report. By using the Know Your Candidate service you acknowledge your acceptance of these risks.

# Sanctions Check

## Names Checked

Search Term(s) entered	Date of Search	Total matches on the search term	Matches: Qualified Out	Matches: Not Qualified Out	Matches: Politically Exposed Person
Michelle Bloggs	29/01/2016	6	2	2	2
Michelle Reynolds	29/01/2016	0	0	0	0
Michelle Wilmott	29/01/2016	0	0	0	0

## Notes Regarding Matches

The Sanctions Check system only searches by name hence if your candidate has a common name multiple matches will be returned. The vast majority of matches are likely to be false positive results i.e. individuals who share the same name as your candidate. These false positive matches will be qualified out where:

- Your candidate has a different date of birth to the individual in the article
- Your candidate was a different age to the individual in the article on the date stated
- Your candidate has a different middle name to the individual in the article
- The components of your candidates name are present in the individual's name from the article but in a different order e.g. your candidate is Andrew (1<sup>st</sup>) Lewis (middle) Ryan (surname) while the individual in the article is Ryan (1<sup>st</sup>) Andrew (middle) Lewis (surname)

Key to match types: SAN = Sanctions, ENF = Enforcement, EXC = Excluded Party, PEP = Political Exposed Person

## Matches: Qualified Out

The following sanctions matches have been qualified out as not being your candidate.

Name matched on	Issuing Entity / Country	Type	Reason qualified out
Bloggs, Michelle Gina	Dept. of Health & Human Services - US	EXC	Different name. Different DOB 01/05/1965
Bloggs, Michelle Rebecca	HM Treasury (UK)	SAN	Different middle initial. Different DOB 12/05/1972

## Matches: Not Qualified Out

We have not been able to qualify out the following matches. This could be due to the individual in the articles shares the same name as your candidate and either no age or DOB information was supplied or the individual is the same age as your candidate. In these instances we suggest that you verify your candidate's address and employment history to be satisfied your candidate is not the subject of the sanction.

Name matched on	Issuing Entity / Country	Type	Extract
Bloggs, Michelle	Hong Kong Monetary Authority	ENF	Indicted with five charges relating to non-payment of income and corporate taxes
Bloggs, Michelle	United States Attorney	ENF	Charged with aggravated identity theft - September 5, 2008

## Matches: Politically Exposed Person

A Politically Exposed Person (PEP) is a term describing someone who has been entrusted with a prominent public function, or an individual who is closely related to such a person. A PEP generally presents a higher risk for potential involvement in bribery and corruption by virtue of their position and the influence that they may hold.

Name matched on	Country	Extract
Bloggs, Michelle	United Kingdom	Member of Sheffield City Council (1987 - 2015)
Bloggs, Michelle Anne	Canada	Wife of Donald Bloggs, Former Ambassador of Canada to Italy

# Sanctions Check

## United Kingdom

HM Treasury, Financial Conduct Auth. (Previously Fin Services Authority), Companies House, Dept. For Bus. Innovation & Skills Export Control, UK Metropolitan Police Force, Serious Fraud Office, Serious And Organised Crime Agency, City Of London Police, HM Revenue & Customs Tax Defaulters, HM Customs & Excise, UK Home Office

## Europe

Oesterreichische National Bank, Financial Market Authority – Austria, Belarus Ministry Of Taxes And Duties, Financial Services & Markets Authority – Belgium, Commission For Protection Of Competition – Bulgaria, Bulgaria Financial Supervision Commission, Cyprus Securities & Exchange Commission, Czech Securities Commission, Czech National Bank, Czech Office For The Protection Of Competition, Danish Financial Supervisory Authority, European Bank For Reconstruction And Development, European Commission – Competitions, European Union Sanctions, Finland Financial Supervisory Authority, Autorite Des Marches Financiers – France, Ministere De L'economie, Des Finances Et De L'industrie – France, Bundesanstalt Für Finanzdienstleistungsaufsicht – Germany, Deutsche Bundesbank, Gibraltar Financial Services Commission, Hellenic Capital Markets Commission, Hellenic Competition Commission, Guernsey Financial Services Commission, Hungarian Competitions Commission, Hungarian Financial Supervisory Authority, Central Bank Of Ireland, Office Of The Director Of Corporate Enforcement – Ireland, Receiver Of Revenue – Ireland, Irish Financial Services Regulatory Enforcement Authority, Autorita Garante Della Concorrenza E Del Mercato – Italy, National Commission Borsa – Italy, Central Bank Of Italy, States Of Jersey - Sanctions Orders, Jersey Financial Services Commission, Competition Council Of Latvia, Latvia Financial And Capital Market Commission, Liechtensteinisches Landesgesetzblatt, Competition Council Of Lithuania, Central Bank Of Lithuania, Commission De Surveillance Du Secteur Financier, Malta Financial Services Authority, Malta Financial Intelligence Analysis Unit, Min. Of State - Fin. Information & Control Service – Monaco, Autoriteit Financiële Markten – Netherlands, Netherlands National Terrorism Sanctions, Norway Financial Supervisory Authority, Polish Financial Supervision Authority, Polish Office Of Competition And Consumer Protection, Comissao Do Mercado De Valores Mobiliarios – Portugal, Romanian Competitions Council, Romanian National Integrity Agency, Romanian National Anti-Corruption Directorate, Romanian Organised Crime & Terrorism Investigation Directorate, General Inspectorate Of Romanian Police, Romanian Prosecutor General Office, Federal Antimonopoly Service Of The Russian Federation, Federal Financial Monitoring Service – Russia, Russian Federal Drug Control Service, Russian Ministry Of Internal Affairs, Prosecutor Generals Office Russian Federation, Russian Federal Investigative Committee, Slovenian General Police Directorate, Slovenian Securities Market Agency, Comision Nacional Del Mercado De Valores – Spain, Unión Europea – Spain, Finansinspektionen – Sweden, Swiss Federal Banking Commission, Swiss Federal Department Of Foreign Affairs, Swiss Financial Market Supervisory Authority, Swiss Financial Market Supervisory Authority, State Committee For Financial Monitoring – Ukraine, Ukrainian Antimonopoly Committee, Isle Of Man Financial Supervision Commission, Isle Of Man Sanctions Orders & Financial Restrictions

## Asia Pacific

Mauritius Financial Services Commission, Australian Competition & Consumer Commission, Australian National Security - Terrorism List, Australian Prudential Regulation Authority, Australian Securities & Investments Commission, Australian Securities Exchange, Australian Transaction Reports And Analysis Centre, Australian Department Of Foreign Affairs & Trade, Independent Commission Against Corruption – Australia, Central Bank Financial Monitoring Services – Azerbaijan, China Insurance Regulatory Enforcement Commission, China Securities Regulatory Enforcement Commission, Hong Kong Special Administrative Region Gazette, Hong Kong Monetary Authority, Hong Kong Securities & Futures Commission, Independent Commission Against Corruption – Australia, India Central Bureau Of Investigation, India Ministry Of Home Affairs, Indian National Investigation Agency, Reserve Bank Of India, Securities & Exchange Board Of India, Indonesian Capital Markets & Fin. Institutions Supervisory Agency, Japan Financial Intelligence Office, Japan Financial Services Agency, Japan Ministry Of Finance, Japan Ministry Of Economy, Trade & Industry, Japan National Police Agency- Designated Boryokudan, Japan Anti-Social Forces, Kazakhstan National Bank Financial Market & Organization Control & Supervision, Kazakhstan Financial Police, South Korea Financial Intelligence Unit – KOFIU, South Korea Fair Trade Commission, South Korea - Ministry Of Strategy And Finance, Bank Negara Malaysia, Malaysia Securities Commission, Malaysian Anti-Corruption Commission, New Zealand Companies Office, New Zealand Commerce Commission, New Zealand Ministry Of Consumer Affairs, New Zealand Ministry Foreign Affairs & Trade, New Zealand Police, Reserve Bank Of New Zealand, Securities & Exchange Commission New Zealand, Serious Fraud Office - New Zealand, Pakistan National Accountability Bureau, Pakistan Federal Investigation Agency, Securities And Exchange Commission Of Pakistan, Central Bank Of The Philippines, Philippines Securities And Exchange Commission, Singapore Commercial Affairs Department – Prosecutions, Monetary Authority Of Singapore, Singapore Corrupt Practices Investigation Bureau, Taiwan Ministry Of Justice - Investigation Bureau, Taiwan - Financial Supervisory Commission, Securities Exchange Commission Thailand, Thai Anti Money Laundering Office, State Securities Commission Of Vietnam

# Sanctions Check

## North and Central America

Central Bank Of Bahamas, Central Bank Of Belize, Belize Financial Services Commission, Bureau De Decision Et De Revision Quebec, Alberta Law Enforcement Response Teams, Autorite Des Marches Financiers – Canada, Alberta Securities Commission, Canada Border Services Agency, Alberta Securities Commission, Canada Border Services Agency, Canada Revenue Agency, Canadian Institute Of Chartered Accountants - Disciplined Accountants, Canadian Law Society - Disciplined Lawyers, Financial Institutions Commission Of British Columbia, Mutual Fund Dealers Association Of Canada, Manitoba Securities Commission, New Brunswick Securities Commission, Country Embargo Listed By Canadian Authorities, Freezing Assets Of Corrupt Foreign Officials – Canada, Canada Advisory Notices, Canadian Sanctions, Ontario Securities Commission, Quebec Enterprises Ineligible For Public Contracts, Quebec Ministry Of Revenue, Saskatchewan Financial Services Commission, Surete Du Quebec, Quebec Permanent Anti-Corruption Unit, Canadian Criminal Code, Canadian Economic Sanctions, Combined Forces Special Enforcement Units, Canadian Securities Administrators, Investment Industry Regulatory Enforcement Organization Of Canada, Nova Scotia Securities Commission, Office Of The Superintendent Of Financial Institutions – Canada, Quebec Chambre De La Securite Financiere, Wanted By Royal Canadian Mounted Police, Securities Exchange Commission Of British Columbia, Police Services, Toronto, Canadian UN Sanctions Against Terrorism, Cayman Islands Monetary Authority, General Superintendence Of Securities Of Costa Rica, Dominica Ministry Of Finance, Jamaica Financial Services Commission, Central Bank Of Mexico, National Securities Comm. - Comision Nacional Bancaria De Valores – Mexico, Comision Nacional Para La Proteccion Y Defensa De Los Usuarios De Servicios Financieros – Mexico, Mexican Insurance & Surety Commission, Mexican Prosecutors General Offices, Nicaragua Superintendence Of Banks, US Dept. Of The Treasury, Arizona Department Of Insurance, US Bureau Of International Security & Nonproliferation Sanctions, Commodity Futures Trading Commission – USA, California Department Of Corporations, Excluded Parties Listing System – US, FBI - Federal Bureau Of Investigation, Federal Deposit Insurance Corporation, Financial Crimes Enforcement Network – USA, Financial Industry Regulatory Enforcement Authority – USA, Us Department Of Health & Human Services, US Immigration & Customs Enforcement, Iran Sanctions Act – USA, National Memorial Institute For The Prevention Of Terrorism – USA, National Futures Association – USA, New York State Banking Board, New York Department Of Financial Services, New York State Insurance Department, New York Stock Exchange, Comptroller Of Currency – USA, Office Of Foreign Assets Control – USA, Office Of Thrift Supervision – USA, Pennsylvania Department General Services, US State Department, Securities Exchange Commission, US Marshalls, US Bureau Of Industry & Security - Export Violations, Chicago Board Options Exchange, US Drug Enforcement Administration, US Department Of Justice, US Department Of Labor, Employee Benefits Security Administration, US Defense Trade Controls, US Country Sanctions, US Federal Reserve Board, US Bureau Of International Narcotics And Law Enforcement, US National Credit Union Administration, US Postal Inspection Service, US State Attorneys General, Special Inspector General For Iraq Reconstruction, US State Prohibited Or Scrutinized Companies, US Treasury - US Patriot Act Section 311, British Virgin Islands Financial Services Commission

## South America

Argentine National Securities Commission - Comision Nacional De Valores, Argentine Financial Information Unit, Superintendence Of Insurance Of The Nation – Argentina, Council For Financial Activities Control – Brazil, Brazilian Integrated Registry Of Administrative Convictions, Brazil Department Of Labour Inspection – Combating Forced Labour, Securities & Exchange Commission Brazil, Departamento De Investigacoes Sobre Narcoticos Brazil, Chilean Superintendence Of Banks And Financial Institutions, Chilean Financial Analysis Unit, Chilean Securities And Insurance Supervisor, Autorregulador Del Mercado De Valores Columbia, Bolsa De Valores De Colombia, Colombian National Narcotics Directorate, Financial Superintendence Of Colombia, Central Bank Of Curacao En Sint Maarten, National Securities Commission Panama, Superintendence Of Banks Of Panama, National Securities Commission Of Paraguay, Central Bank Of Uruguay, Superintendencia De La Actividad Aseguradora Venezuela, Venezuelan Superintendence Of Banking Sector Institutions, National Securities Commission Of Venezuela.

## Africa and Middle East

Ivory Coast Platform Combating Cyber Criminality, Egyptian Exchange - Asset Freeze, Israel Ministry Of Defence - Terrorism List, Israel Ministry Of Finance, Israel Ministry Of Justice - Gambling List, Israel Antitrust Authority, Libyan National Transitional Council - Law 36, Nigerian Economic And Financial Crimes Commission, Nigerian Securities & Exchange Commission, Rwandan Public Procurement Authority, Saudi Ministry Of Interior Most Wanted, South African Police, South African Competitions Commission, Financial Services Board - South Africa

## International

Asian Development Bank, African Development Bank - Debarred Entities, Financial Action Task Force, Inter - American Development Bank, Interpol, Iran-Relevant World-Check Data, World Bank, OECD Non-Co-Operative Countries & Territories, United Nations Sanctions.